

## Privacy Statement

Effective date: **March 31, 2020**

### What information Blakeslee & Blakeslee Financial Advisers collects and why

Investment advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some, but not all, sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information. Please read this carefully to understand what we do.

The type of personal information we collect and share depend on the product or services you have with us. This information can include:

- Social Security number and financial account numbers
- Income and employment information
- Contact information

### How Blakeslee & Blakeslee Financial Advisers shares your personal information

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their costumers' personal information; the reason Blakeslee & Blakeslee Financial Advisers chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does BBFA share?	Can you limit this sharing?
<b>For our everyday business purposes-</b> Such as to open and maintain your account(s), process your transactions, or respond to court orders and legal investigations	Yes	No
<b>For our marketing purposes-</b> To offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We do not share
<b>For affiliates', Blakeslee &amp; Blakeslee, Inc., everyday business purposes-</b> Information about your transactions	Yes	No
<b>For our affiliates', Blakeslee &amp; Blakeslee, Inc., everyday business purposes-</b> Information about your creditworthiness	No	We do not share
<b>For our affiliate, Blakeslee &amp; Blakeslee, Inc., to market to you</b>	Yes	Yes
<b>For nonaffiliates to market to you</b>	No	We do not share

### How Blakeslee & Blakeslee Financial Advisers protects your personal information

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

### How Blakeslee & Blakeslee Financial Advisers collects your personal information

We collect your personal information, for example, when you:

- Open an account or make deposits or withdrawals from your account
- Tell us about your investments or financial planning objectives portfolio
- Direct us to buy securities or direct us to sell your investments
- Update account information

## Why can't you limit all sharing?

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Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

## Definitions

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**Affiliates** – Companies related by common ownership or control. They can be financial and nonofficial companies.

- o Our only affiliate is Blakeslee & Blakeslee, Inc.

**Nonaffiliates** – Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- o Blakeslee & Blakeslee Financial Advisers does not share with nonaffiliates so they can market to you.

**Joint marketing** – A formal agreement between nonaffiliated financial companies that together market financial products or service to you.

- o Blakeslee & Blakeslee Financial Advisers does not jointly market.

## Other important information

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**For California Residents.** In accordance with California law, we will not share information we collect about you with nonaffiliates, except as allowed by law. For example, we may share information with your consent or to service your account. Among our affiliates, we will limit information sharing to the extent required by California law.

**For Nevada Residents.** We are providing you this notice pursuant to state law. You may be placed on our internal Do Not Call List by calling 805-543-4366. Nevada law requires that we also provide you with the following contact information: Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 EWashington St., Suite 3900, Las Vegas, NV 89101; Phone number: 702-4863132; email:BCPINFO@ag.state.nv.us.

**For Vermont Residents.** In accordance with Vermont law, we will not share information we collect about Vermont residents with companies who are not affiliates, except as permitted by law, such as with your consent or to service your accounts. We will not share information about your creditworthiness with our affiliates without your authorization or consent, but we may share information about our transactions or experiences with you with our affiliates without your consent.

This privacy statement is subject to change. Please review it periodically via our website, [www.mybbfa.com](http://www.mybbfa.com). If we make changes to the privacy statement, we will revise the "effective date" at the top of this statement. Any changes to this statement will be effective when we post the revised notice to our website.

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Please call if you have any questions. Your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.

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